

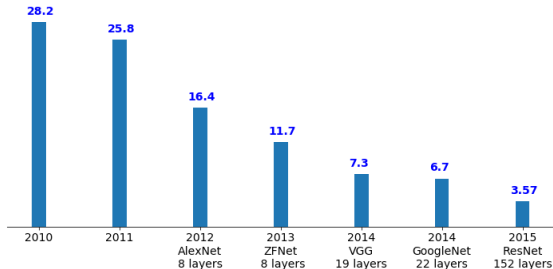
# Residual Learning

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# Recall: ILSVRC

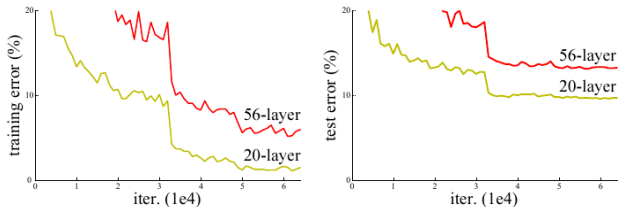
- ILSVRC (ImageNet Large Scale Visual Recognition Challenge) was an competition based on the ImageNet data.
- Top-5 classification error rates for the best systems



- ResNet was the best ImageNet object recognizer in 2015.

# Deeper $\Rightarrow$ Better Fit?

- Theory: a deeper network has a larger capacity  $\Rightarrow$  better fit on the training set.
- Practice: a very deep network may not even fit better than a shallower network on the training set



He, Zhang, Ren, and Sun, Deep residual learning for image recognition, 2016

- Optimization for deeper networks are hard
  - the exploding/vanishing gradient problem (as seen in RNN lectures)

## Recall: avoiding exploding/vanishing gradients for RNNs

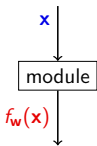
- Truncated BPTT (backprop through time)
- Good initialization
- Gradient clipping
- Design a better architecture

ResNet: design an architecture that learns residuals

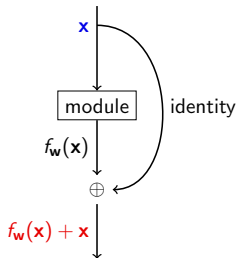
# ResNet

- The key idea of ResNet is to replace typical computational blocks by residual blocks.
- Each residual block computes a function  $f_{\mathbf{w}}(\mathbf{x}) + \mathbf{x}$  (residual + identity), with identity implemented as a shortcut connection.

typical block

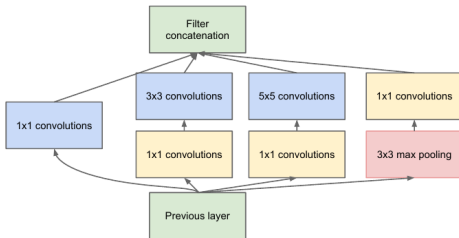


residual block



## Shortcuts

- Shortcut connections were used in previous architectures such as the inception module in GoogLeNet



- ResNet's shortcut connection does not introduce new parameters nor computational complexity.

## Why residual blocks

- Near-identity blocks can be used to represent very complex mappings between inputs and outputs
  - *a lot of empirical evidence and some theoretical justifications*
- Possibly, they are a *natural* building block for representing input-output mappings.
- Learning near-identity functions using standard neural net blocks is hard, but learning the residuals of such near-identity functions using standard neural net blocks turns out to be much easier.
  - *why? if there is a 20-layer solution, there is a 56-layer solution with additional identity layers, but learning such a network is harder.*

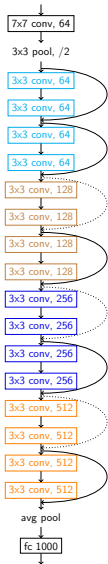
**still an active area of research**

## Keeping the dimensions unchanged

- For fully connected layers, simply keep the output dimension the same as the input dimension.
- For convolutional layers, two options are often used
  - use 0 padding in convolution to keep the feature map size the same, and keep number of channels the same,
  - reduce feature map size and increase number of filters, implement the skip connection as a downsampling layer
- In general, the identity connection can be replaced by a linear projection, and a residual block computes  $f_{\mathbf{w}}(\mathbf{x}) + P\mathbf{x}$  (in practice,  $P$  can be user-specified or learned).



# Example: An 18-layer ResNet

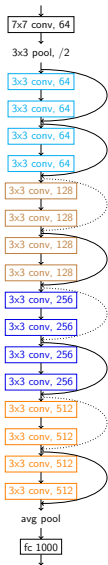


S: stride; P: padding

layer	output size
input	3x224x224
Conv(7x7, 64, S=2, P=3)	64x112x112
MaxPool(3x3, S=2, P=1)	64x56x56
2 residual blocks, each has 2 Conv(3x3, 64, S=1, P=1) layers	64x56x56
2 residual blocks, each has 2 Conv(3x3, 128) layers	128x28x28
2 residual blocks, each has 2 Conv(3x3, 256) layers	256x14x14
2 residual blocks, each has 2 Conv(3x3, 512) layers	512x7x7
Global average pooling	512x1x1
Fully connected layer with 1000 outputs	1000

- While there are 20 layers in the table, the two pooling layers have no parameters and are not counted.
- For the 2nd to the 4th residual blocks, all the convolutional filters have padding 1, but the strides are different.
- We walk through the architecture in next few slides.

# Example: An 18-layer ResNet



S: stride; P: padding

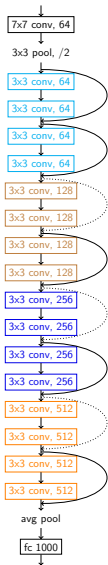
layer	output size
input	3x224x224
Conv(7x7, 64, S=2, P=3)	64x112x112

Conv(7x7, 64, S=2, P=3)

$$\lfloor (224 + 2 * 3 - 7 + 2) / 2 \rfloor = 112$$

Recall:  $\lfloor \frac{N+2P-D(F-1)-1}{S} \rfloor + 1$ , equal to  $\lfloor \frac{N+2P-F+S}{S} \rfloor$  when  $D = 1$ .

# Example: An 18-layer ResNet

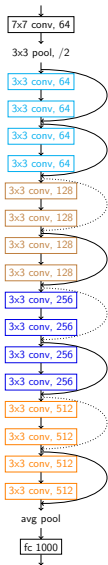


S: stride; P: padding

layer	output size
input	3x224x224
Conv(7x7, 64, S=2, P=3)	64x112x112
MaxPool(3x3, S=2, P=1)	64x56x56
	$\lfloor (112 + 2 * 1 - 3 + 2) / 2 \rfloor = 56$

Recall:  $\lfloor \frac{N+2P-D(F-1)-1}{S} \rfloor + 1$ , equal to  $\lfloor \frac{N+2P-F+S}{S} \rfloor$  when  $D = 1$ .

# Example: An 18-layer ResNet

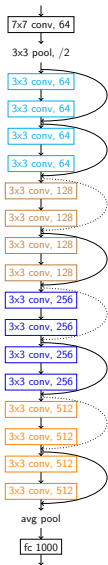


S: stride; P: padding

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MaxPool(3x3, S=2, P=1)	64x56x56
2 residual blocks, each has 2 Conv(3x3, 64, S=1, P=1) layers	64x56x56
(56 + 2*1 - 3 + 1)/1 = 56 for both layers	

Recall:  $\lfloor \frac{N+2P-D(F-1)-1}{S} \rfloor + 1$ , equal to  $\lfloor \frac{N+2P-F+S}{S} \rfloor$  when  $D = 1$ .

# Example: An 18-layer ResNet



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layer	output size
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2 residual blocks, each has 2 Conv(3x3, 64, S=1, P=1) layers	64x56x56
2 residual blocks, each has 2 Conv(3x3, 128) layers	128x28x28

$$\lfloor (56 + 2 * 1 - 3 + 2) / 2 \rfloor = 28 \text{ for 1st layer in 1st block}$$

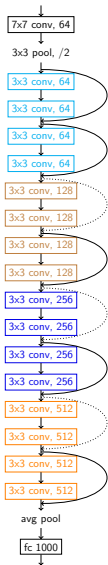
$$(28 + 2 * 1 - 3 + 1) / 1 = 28 \text{ for other layers}$$

skip connection for 1st block is not identity, but Conv(2x2, 128, S=2, P=0)

why? to downsample input to match output size

$$\text{Recall: } \lfloor \frac{N+2P-D(F-1)-1}{S} \rfloor + 1, \text{ equal to } \lfloor \frac{N+2P-F+S}{S} \rfloor \text{ when } D = 1.$$

# Example: An 18-layer ResNet



S: stride; P: padding

layer	output size
input	3x224x224
Conv(7x7, 64, S=2, P=3)	64x112x112
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2 residual blocks, each has 2 Conv(3x3, 256) layers	256x14x14

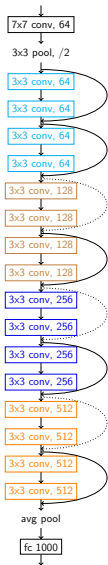
$$\lfloor (28 + 2 * 1 - 3 + 2) / 2 \rfloor = 14 \text{ for 1st layer in 1st block}$$

$$(14 + 2 * 1 + 1 - 3) / 1 = 14 \text{ for other layers}$$

skip connection for 1st block is not identity, but Conv(2x2, 256, S=2, P=0)

$$\text{Recall: } \lfloor \frac{N+2P-D(F-1)-1}{S} \rfloor + 1, \text{ equal to } \lfloor \frac{N+2P-F+S}{S} \rfloor \text{ when } D = 1.$$

# Example: An 18-layer ResNet



S: stride; P: padding

layer	output size
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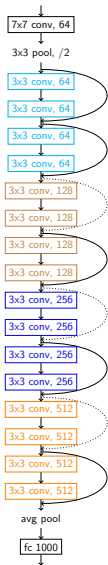
$\lfloor \frac{(14 + 2 * 1 - 3 + 2)/2}{1} \rfloor = 7$  for 1st layer in 1st block

$(7 + 2*1 + 1 - 3)/1 = 7$  for other layers

skip connection for 1st block is not identity, but Conv(2x2,512, S=2, P=0)

Recall:  $\lfloor \frac{N+2P-D(F-1)-1}{S} \rfloor + 1$ , equal to  $\lfloor \frac{N+2P-F+S}{S} \rfloor$  when  $D = 1$ .

# Example: An 18-layer ResNet



S: stride; P: padding

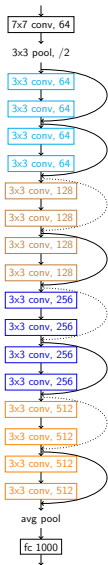
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2 residual blocks, each has 2 Conv(3x3, 512) layers	512x7x7
Global average pooling	512x1x1

Each feature map is averaged

$$\text{Recall: } \lfloor \frac{N+2P-D(F-1)-1}{S} \rfloor + 1, \text{ equal to } \lfloor \frac{N+2P-F+S}{S} \rfloor \text{ when } D = 1.$$



# Example: An 18-layer ResNet

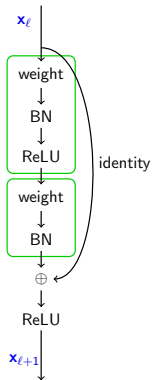


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Fully connected layer with 1000 outputs	1000

- The ResNet18 architecture in the original paper in fact applies batch normalization after each convolution, but before activation.

- Full architecture of a residual block in ResNet18



Equations relating  $x_\ell$  ( $l$ -th layer output) and  $x_{\ell+1}$

$$y_\ell = x_\ell + f(x_\ell),$$

$$x_{\ell+1} = \text{ReLU}(y_\ell)$$

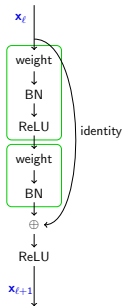
NB. Sometimes identity is replaced by downsampling.

- ResNet architectures in the original paper (including the 152-layer ImageNet winning architecture)

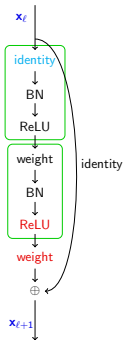
layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer
conv1	112×112	7×7, 64, stride 2				
conv2_x	56×56	3×3 max pool, stride 2				
		$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$
conv3_x	28×28	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$
conv4_x	14×14	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$
conv5_x	7×7	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$
	1×1	average pool, 1000-d fc, softmax				
FLOPs		1.8×10 <sup>9</sup>	3.6×10 <sup>9</sup>	3.8×10 <sup>9</sup>	7.6×10 <sup>9</sup>	11.3×10 <sup>9</sup>

# Improved Residual Block

original



improved



Original residual block

$$y_\ell = x_\ell + f(x_\ell),$$
$$x_{\ell+1} = \text{ReLU}(y_\ell)$$

ReLU prevents direct information flow between  $x_\ell$  and  $x_{\ell+1}$  for both forward and backward propagation.

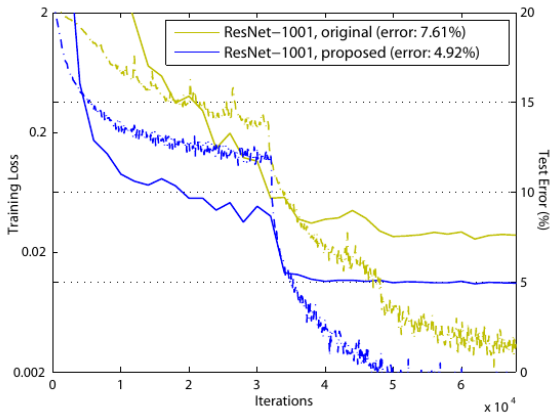
Idea: move **ReLU** and **weight** around to improve information flow.

Improved residual block

$$x_{\ell+1} = x_\ell + f(x_\ell),$$

Direct information flow between  $x_\ell$  and  $x_{\ell+1}$ !

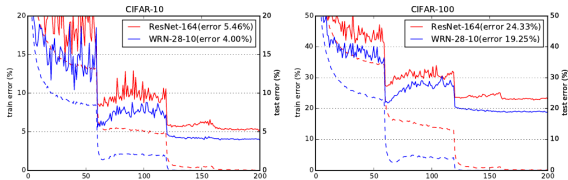
NB. The **identity** layer is only there for better comparison of the differences.



- Original design overfits with 200 layers.
- Improved design makes 1001-layer ResNet trainable with good generalization performance (similar to original 152-layer ResNet).

# Wide Residual Networks

- Wider residual blocks ( $F \times k$  filters instead of  $F$  filters in each layer)



solid: train; dashed: test

28-layer wide ResNet ( $k = 10$ ) outperforms 164-layer original ResNet

- Perhaps residuals are the important factor, not depth.
- Increasing width instead of depth is more computationally efficient.

# Highway Networks

- Highway networks use shortcut connections (same as ResNet), but use data-dependent gates to control information flow (ResNet uses parameter-free shortcuts).
- A block  $f_{\mathbf{w}}(\mathbf{x})$  is modified to compute  $f_{\mathbf{w}}(\mathbf{x}) \odot T_{\mathbf{w}_T}(\mathbf{x}) + \mathbf{x} \odot C_{\mathbf{w}_C}(\mathbf{x})$ .
  - $\odot$  is the Hadamard product
  - $T_{\mathbf{w}_T}$  is a nonlinear transformation (transform gate)
  - $C_{\mathbf{w}_C}$  is a nonlinear transformation (carry gate)
- Typically,  $C = 1 - T$ , thus the block can smoothly vary its behavior between identity and  $f_{\mathbf{w}}(\mathbf{x})$ .

# Your Turn

Which of the following statement is correct? (Multiple choice)

- (a) Deep CNNs are usually easy to train.
- (b) Shortcut connections are used in AlexNet.
- (c) In ResNet, the shortcut connection may not be an identity and may be learned.
- (d) GoogLeNet is a wide residual network.



# What You Need to Know

- Deeper networks are generally harder to train
- Shortcut connection, residual block and ResNet
- Relatives: improved residual block, wide residual networks, highway networks.